

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William M. Shapiro, et al.      Art Unit : Unknown  
Serial No. : to be assigned      Examiner : Unknown  
Filed : October 31, 2003  
Title : OFFLINE ACCESS IN A DOCUMENT CONTROL SYSTEM

**Mail Stop Patent Application**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed with the application. Accordingly, only copies of foreign patent documents and non-patent literature are enclosed. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Oct. 31, 2003

  
William E. Hunter  
Reg. No. 47,671

PTO Customer No. 21876  
Fish & Richardson P.C.  
12390 El Camino Real  
San Diego, California 92130  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099

10342189.doc

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EY 399312132 US

October 31, 2003  
Date of Deposit

|   |  |   |                                   |
|---|--|---|-----------------------------------|
| Substitute Form PTO-1449<br>(Modified)  | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>07844-621001   | Application No.<br>to be assigned |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary) |  | Applicant<br>William M. Shapiro, et al. |                                   |
|   |  | Filing Date<br>October 31, 2003         | Group Art Unit<br>to be assigned  |

(37 CFR §1.98(b))

**U.S. Patent Documents**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee      | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|---------------|-------|----------|----------------------------|
| /DGC/            | AA        | 6,289,450       | 09/11/2001       | Pensak et al. |       |          |                            |
| /DGC/            | AB        | 6,339,825       | 01/15/2002       | Pensak et al. |       |          |                            |
| /DGC/            | AC        | 6,449,721       | 09/10/2003       | Pensak et al. |       |          |                            |
|                  | AD        |                 |                  |               |       |          |                            |
|                  | AE        |                 |                  |               |       |          |                            |

**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                  |           |                 |                  |                          |       |          | Yes         | No |
|                  | AF        |                 |                  |                          |       |          |             |    |
|                  | AG        |                 |                  |                          |       |          |             |    |

**Other Documents (Include Author, Title, Date, and Place of Publication)**

| Examiner Initial | Desig. ID | Document   |
|------------------|-----------|--|
| /DGC/            | AH        | PageRecall: The Key to Document Protection, Authentica, Inc. Whitepaper, <a href="http://www.authentica.com/products/white">http://www.authentica.com/products/white</a> , accessed 10/31/2003 |
|                  | AI        |  |
|                  | AJ        |  |
|                  | AK        |  |

|   |                                   |
|---|-----------------------------------|
| Examiner Signature<br><br>/David Garcia Cervetti/ | Date Considered<br><br>11/30/2007 |
|---|-----------------------------------|

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.